

# External evaluation on management of Shiga Bank

The Shiga Bank owes its long history to the loyal patronage of customers and the regional communities. With the awareness that “the Bank’s development is unattainable without the development of the region” and guided by the mission to contribute to sustainable growth of the regional communities, we have been proactively pursuing mutual prosperity with the regional communities, all employees and environment as set forth in the CSR Charter (Management Principles). Our efforts made to date have received high praise from various external institutes and organizations.

- 2003**
  - Awarded the Environmental Management Pearl Prize at the “1st Japan Environmental Management Award”
- 2004**
  - Awarded the Financial Institution Category Prize at the “2nd Integrity Award”
  - Awarded the Fujisankei Communications Group Prize at the “13th Global Environment Award”
  - Awarded the Shiga Labor Bureau’s Award
  - Certified as “FTSE4Good Global Index”
- 2007**
  - Awarded the “Manager of the Year Award” of ZAIKAI for fiscal 2006
  - Awarded Minister of the Environment Prize at the “9th Green Purchasing Award”
- 2008**
  - Awarded the Chairman’s Prize from the New Energy Foundation at the “12th New Energy Award”
  - Awarded the Grand Prize at the “5th Corporate Philanthropy Award”
  - Registered as a “Work-Life Balance Promotion Company”
  - Awarded the Grand Prize at the “BCAO Awards 2007”
  - Certified as a company that proactively works to support childcare based on the “Act on Advancement of Measures to Support Raising Next-Generation Children”
  - Certified as “Eco-First Enterprise” by the Ministry of the Environment
  - Awarded the “Kansai Eco Office Prize” for fiscal 2007
  - Awarded the “Minister of the Environment’s Commendation for Global Warming Prevention Activity” for fiscal 2008
- 2009**
  - Awarded the Prize for Encouragement at the “12th Environmental Communication Award”
- 2010**
  - Awarded the Special Excellence Award in the Environmental Report Category at the “13th Environmental Communication Award”
  - Awarded the Environmental Management Pearl Prize at the “8th Japan Environmental Management Award”
  - Ranked first in the nonmanufacturing sector/financial institutions category in the “14th Environmental Management Survey” by Nikkei Inc.
- 2011**
  - Awarded the judges’ special award at the “Stop Global Warming Award –Low Carbon Cup 2011–”
  - Awarded the Prize for Excellence in the Environmental Television Commercial Category at the “14th Environmental Communication Award”
  - Certified for the second time as a company that proactively works to support childcare based on the “Act on Advancement of Measures to Support Raising Next-Generation Children”
  - Awarded the Minister of Agriculture, Forestry and Fisheries Prize at the “2nd Contest for Corporate Activities on Biodiversity”
- 2012**
  - “kimonogatari activities” were included in the 2012 “Environmental White Paper”
  - Certified as Good Practice in the “Principles for Finance Action towards a Sustainable Society”
- 2013**
  - Awarded Grand Prize at the “15th Green Purchasing Award”
- 2014**
  - CSR activities of the Bank were included in the Contemporary Ethics textbook for high schools published by Shimizu Shoin
  - Earned double commendations at the “17th Environmental Communication Award”
  - Environmental Report Grand Prize in the Environmental Report Category (Minister of the Environment Prize)
  - Prize for Excellence in the Environmental Television Commercial Category (President of Global Environmental Forum Prize)
  - Certified again as “Eco-First Enterprise” by the Ministry of the Environment
  - Certified for a third time as a company that proactively works to support childcare based on the “Act on Advancement of Measures to Support Raising Next-Generation Children”
  - Awarded the Minister of Health, Labour and Welfare Prize at the “50th National Convention of the Blood Donation Drive”
  - Awarded the Prize for Encouragement at the 2nd “Miyako Environmentally Friendly Building” Reward System” (Kyoto Branch)
- 2015**
  - Awarded the Prize for Excellence at the “1st Kaueco GRAND PRIX”
  - Awarded the Prize for Excellence in the Environmental Report Category at the “18th Environmental Communication Award”
  - Won the Outstanding Performance Award at the first “Low Carbon ‘Towns and Buildings’ Contest” (Ritto Branch)
  - Won the Outstanding Performance Award at the “Integrity Award 2015”
  - Awarded the first prize at the “Kankyo Hito-zukuri Kigyo Taisho 2014”
  - Awarded the Shiga Labor Bureau Director’s award for promotion of equality and a better work-life balance for fiscal 2015 in the family-friendly corporate category
  - Awarded the first prize in the “2015 UCDA Award”
- 2016**
  - Certified as Good Practice at the 4th “Principles for Finance Action towards a Sustainable Society”
  - Adopted “Ikuboss declaration” (239 persons)
  - Awarded “Platinum Kurumin certification” based on the “Act on Advancement of Measures to Support Raising Next-Generation Children”
  - Commended as a business operator that excels in environmental protection at the Shiga Prefecture’s “Environment Protection Chairman’s Prize”
- 2018**
  - Awarded the “Supporting Sponsor Prize” at the 2nd Green Ocean Grand Award 2018
  - Awarded the “Bank of the Year,” the top award, and the “Community Contribution Grand Prize” at the “6th M&A Bank of the Year” hosted by Nihon M&A Center Inc.

## Awarded the Fujisankei Communications Group Prize at the “13th Global Environment Award”

First in the banking industry

We were awarded as a company proactively engaged in environmental preservation activities in pursuit of industrial development and coexistence with the global environment.

## Certified as Eco-First Enterprise

First in the financial industry

We declared our commitment to environmental finance, eco-office creation and coexistence of human and natural environment as the “Eco-First Commitments.” We were awarded by the Minister of the Environment as an industry leader with visionary and unique environmental initiatives.



## Awarded “Platinum Kurumin certification”

First for a regional bank in the Kinki area

As a company that supports good parenting based on the Act on Advancement of Measures to Support Raising Next-Generation Children, the Bank was awarded “Platinum Kurumin certification,” the first for a regional bank in the Kinki area.



## Awarded the “Bank of the Year,” the top award, and the “Community Contribution Grand Prize” at the “6th M&A Bank of the Year”

Top Award

We received the award because, as measures for business succession of customers, the Bank has focused on needs for business succession and M&A in the region from an early stage, developed its organizational system according to the needs, and strived for promotion activities targeted at business departments and branches as well as for information development.



## Major certification/award in fiscal 2018

### SDGs initiatives

## The 2nd Japan SDGs Award

(Hosted by SDGs Promotion Division)

December 21, 2018

### Received the special award "SDGs Partnership Award"



In an award to commend companies and organizations engaged in excellent approaches toward achieving Sustainable Development Goals (SDGs), the Bank received recognition for its noteworthy achievements as a company working to promote SDGs through its businesses with an emphasis on partnerships, and won the special award as a front-runner working toward creation of a sustainable society.



### Resolving issues facing society through our businesses

## The Principles for Financial Action for the 21st Century

(Secretary: the Ministry of Environment)

March 6, 2019

### Received the Minister of the Environment Prize (Regional category)



In the Principles for Financial Action for the 21st Century\* signed by 269 institutions (as of March 31, 2019), the Bank's "Initiatives for supporting the creation of business models to address issues facing society: Taking Shigagin SDGs Declaration as the starting point" was recognized as the most excellent initiative for fiscal 2018.

\* Principles for Financial Action for the 21st Century: An action guideline for financial institutions aspiring to fulfill their responsibilities and roles required for creating a sustainable society. Signatory financial institutions implement initiatives in accordance with the principles to the extent possible, in light of the contents of their respective operations. Since its inception in 2011, 269 institutions have signed the principles as of March 2019.



### Ease of work for employees

## Shiga Prefecture Certification System for Companies Promoting Women's Career Advancement

(Certified by Shiga Prefecture)

February 22, 2019

### Obtained certification as a Two-star company

In a program established by Shiga Prefecture for the purpose of encouraging companies and organizations promoting the advancement of women's careers, the Bank was certified as an organization with achievements above the national average with regard to the rate of male employees taking childcare leave and return rate of female employees who took childcare leave, etc.



### Promoting environmental management

## The 22nd Environmental Communication Award

(Hosted by the Ministry of the Environment and the Global Environmental Forum)

February 20, 2019

### Received the Judging Committee's Special Excellence Award in the Environmental Report Category

The award is intended to promote initiatives for environmental management and environmental communication, and enhance the disclosure quality of environmental information. The Bank was awarded for its efforts to incorporate SDGs and environmental aspects into financing, its core business, and to encourage environment-conscious management of companies in Shiga and other regions through proactive financing that leverages the strengths of a regional bank.



### Selected as a recommended stock for investment

## SNAM Sustainability Index fiscal 2019

This is an ESG investment product for pension funds and institutional investors that extensively covers companies with high ratings in ESG (environment, society and governance).